

# Quantum Polynomial Hierarchies: Collapses, Karp-Lipton, and More

A merged presentation of:

## The Entangled Quantum Polynomial Hierarchy Collapses

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## Quantum Polynomial Hierarchies: Karp-Lipton, error reduction, and lower bounds

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### What is the Polynomial Hierarchy (PH)?

PH is a hierarchy of complexity classes generalizing NP. It's *strongly* believed the levels of PH are distinct, just as we believe  $P \neq NP$ . All of PH equals P if and only if  $P = NP$ .

PH has applications in understanding the power of randomness, low-depth circuits, counting classes, interactive proofs, second order logic, and near-term quantum sampling protocols!

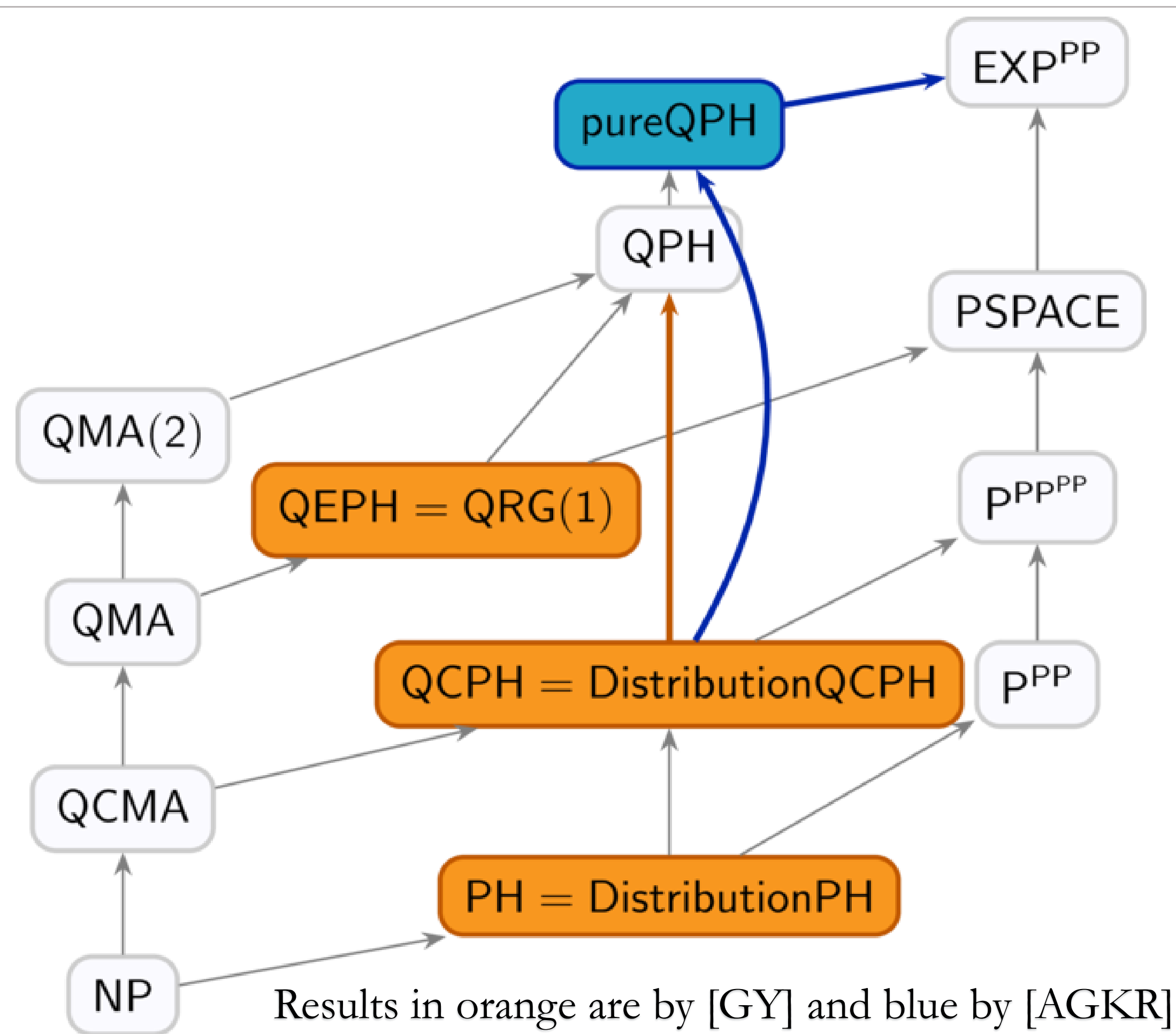
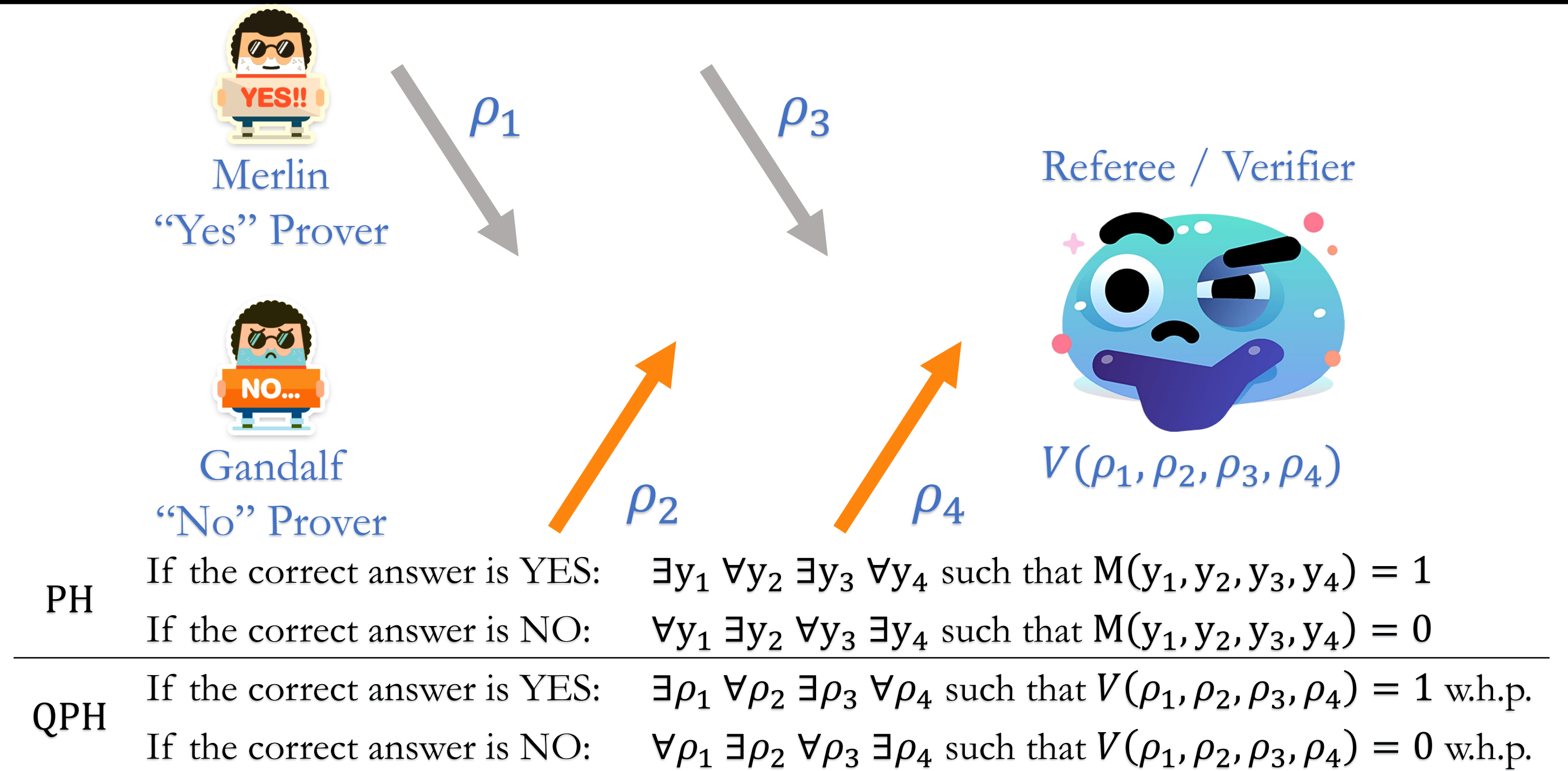
The levels of PH are denoted  $\Sigma_k$  and  $\Pi_k$  for  $k \geq 0$ . Each level adds a layer of nondeterminism:

$$\Sigma_0 = \Pi_0 = P, \quad \Sigma_1 = NP, \quad \Pi_1 = coNP, \quad \Sigma_2 = NP^{NP}, \quad \Pi_2 = coNP^{NP}, \dots$$

**Game theoretic intuition:**  $\Sigma_k$  is the set of questions for which the answer can be verified by a  $k$ -round debate with a computationally efficient referee.

Merlin argues the answer is YES, Gandalf argues the answer is NO. The two provers alternate sending messages to the referee. The provers can see each other's messages and respond accordingly. The referee is passive, i.e. non-interactive.

PH is a constant-round, competing, non-interactive, public (perfect information) game.



### Quantum versions of PH

Just like QCMA, QMA, QMA<sub>1</sub>, and QMA(2), there are several natural definitions for quantum PH. [GSSSY 2018] defined QCPH and QPH. Both classes generalize BQP = QCΣ<sub>0</sub> = QΣ<sub>0</sub>. QCPH generalizes QCMA = QCΣ<sub>1</sub>. QPH generalizes QMA = QΣ<sub>1</sub> and QMA(2) ⊆ QΣ<sub>3</sub>.

	Proof type	Verifier type	Results
PH	Classical string	Classical	in QPH
QCPH	Classical strings	Quantum	in QPH
QPH	(Unentangled) quantum states	Quantum	
pureQPH	Pure (Unentangled) quantum states	Quantum	in EXP <sup>PP</sup>
QEPH	Entangled quantum states	Quantum	equals QRG(1)
DistributionPH	Classical mixed states	Classical	equals PH
DistributionQCPH	Classical mixed states	Quantum	equals QCPH

### Entanglement: QEPH collapses to QRG(1)

We define QEPH. Now, the provers are allowed to entangle their proofs: e.g. Merlin's message in round 1 may be entangled with his message in round 3. Here, we have *relaxed* the constraints on the provers, closer to the QIP and QRG models. We prove QEPH collapses to the 2<sup>nd</sup> level, which equals QRG(1).

RG and QRG are "Refereed Games" that are *interactive* with *private* communication. The referee sends follow-up questions to the provers, and the provers do not see each other's messages.

$$QMA \subseteq P^{QMA} \subseteq QRG(1) \stackrel{?}{\subseteq} QRG(2) = PSPACE$$

Proof sketch: with no un-entanglement, QEPH collapses by convexity and minimax arguments. In fact, this even works for a polynomial number of QEPH rounds.

$$\max_{\rho_1} \min_{\rho_2} \max_{\rho_3} f(\rho_1, \rho_2, \rho_3) = \max_{\rho_1} \max_{\rho_3} \min_{\rho_2} f(\rho_1, \rho_2, \rho_3) = \max_{\rho_{1,3}} \min_{\rho_2} f(\rho_{1,3}, \rho_2)$$

### If QCΣ<sub>k</sub> = QCΠ<sub>k</sub> then QCPH collapses

Note that in contrast to PH and QCPH, we know QΣ<sub>2</sub> = QΠ<sub>2</sub> = QRG(1) are equal. This collapse theorem was left open by [GSSSY18] because of subtle issues dealing with promise gaps. We give a careful proof handling these issues, showing QCPH behaves similarly to PH.

### Karp-Lipton: If QCMA ⊆ BQP<sub>/mpoly</sub> then QCPH = QCΣ<sub>2</sub>

In words, there do not exist small circuits solving QCMA unless QCPH collapses. Using our new collapse theorem above, we want to show QCMA ⊆ BQP<sub>/mpoly</sub> implies QCΣ<sub>2</sub> = QCΠ<sub>2</sub>. The classical Karp-Lipton theorem uses search-to-decision reduction for SAT. However, this does not easily work with quantum promise problems. We use the QCMA Valiant-Vazirani filter of [ABBS08] to make any accepting proofs unique. Then we use the single-query quantum search-to-decision reduction for UQCMA from [INNRY22]. From here, the proof follows as for Karp-Lipton.

### Classical mixed states: DistributionPH = PH

QPH alters proofs in two ways: (1) they are quantum not classical states (2) the states may be mixed. Convexity arguments often let us restrict our attention to pure states, but here the competitive setup breaks this. For example: is it true that for all  $x$  there exists a  $y$  such that  $x = y$ ? If  $x, y$  are bits then YES. But if  $x, y$  are qubits – or even single-bit classical mixed states – then NO.

We define DistributionPH and DistributionQCPH to allow classical mixed states / distributions / random variables as proofs (and the referee gets one sample). These proofs are an intermediate between classical and quantum states.

This presents a new game theory model: proofs are public, but they are undetermined (random).

We find that in fact, PH = DistributionPH and QCPH = DistributionQCPH.

Our proof generalizes a result of [Lipton and Young 1994] that provers can send distributions with at most polynomial support.

### QPH is at least as powerful as PH: PH, QCPH ⊆ QPH

[GSSSY18] defined QPH but left it an open problem whether QPH contained QCPH or even PH! QPH trivially contains QMA(2), QMA, and BQP, but containment of PH is non-trivial. Provers might cheat by sending mixtures of strings instead of a fixed string.

We show PH ⊆ QCPH ⊆ QPH. Our proof is a careful parallel repetition protocol which increases the number of rounds by a constant multiple.

### PH, QCPH ⊆ pureQPH

**Error-reduction:** We introduce pureQPH and show one-sided error reduction for it. While error reduction for QCPH follows from parallel repetition, it is non-trivial for QPH or pureQPH just like for QMA(2) – the tensor product structure is not necessarily preserved during repetition.

To overcome this, we give an asymmetric version of the Product Test [Harrow, Montanaro 13] dubbed the APT. The APT takes in an  $n$ -system state  $|\psi\rangle$  in register A, and (ideally)  $m$  copies of  $|\psi\rangle$  in register B. The APT accepts if B is the correct form and  $|\psi\rangle$  is a product state.

**Lower-bound:** We use the APT to force the final pureQPH prover to send many copies of *all* previous proofs, forcing the provers to send classical strings instead of superpositions. This avoids the multiplicative blowup of [GY], but gives QCPH ⊆ pureQPH not ⊆ QPH.

### New upper bound: QPH ⊆ EXP<sup>PP</sup>

**QPH ⊆ pureQPH:** This follows by purification of mixed states. Just double the number of qubits.  
**pureQPH ⊆ EXP<sup>PP</sup>:** The only previous bound on QPH is the trivial QPH ⊆ EXPH = NEXP<sup>NP<sup>NP</sup></sup>. We upper bound both QPH and pureQPH by EXP<sup>PP</sup>, an exponential analogue to Today's theorem. Proof: pureQΣ<sub>k</sub> ⊆ NEXP<sup>NP<sup>k-1</sup></sup>, and NEXP<sup>O</sup> ⊆ EXP<sup>NP<sup>O</sup></sup> for any O, so pureQΣ<sub>k</sub> ⊆ EXP<sup>NP<sup>k-1</sup></sup>. Then, NP<sup>k</sup> ⊆ P<sup>PP</sup> (Today's theorem), so pureQΣ<sub>k</sub> ⊆ EXP<sup>PP</sup>. Finally, EXP can simulate the P computation so that EXP<sup>PP</sup> ⊆ EXP<sup>PP</sup>.

### Open Questions

Can QEPH = QRG(1) help resolve QRG(1) vs. QRG(2) = PSPACE?  
Can QPH help attack QMA(2)? Know QMA(2) ⊆ QΣ<sub>3</sub> ⊆ NEXP. Is pureQΣ<sub>3</sub> ⊆ NEXP?  
Compare quantifier definitions with oracle definitions: QMAH = QMA<sup>QMA</sup>, which is in the counting hierarchy and PSPACE, while QMA(2) ⊆ QPH ⊆ EXP<sup>PP</sup>.

### References

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